



Rev. August 7, 2024

FACTS **WHAT DOES CDS1 SECURITIES LLC (THE “FIRM”) DO WITH YOUR PERSONAL INFORMATION?**

- Why?** Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
- What?** The types of personal information we collect and share depend on the product or service you have with us. This information can include:
 - Social Security number and income;
 - Account balances and transaction history; and
 - Credit history and credit scores
- How?** All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons the Firm chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does the Firm share?	Can you limit this sharing?
For our everyday business purposes: such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	Yes	No
For our marketing purposes: to offer our products and services to you.	No	Yes
For joint marketing with other financial companies.	Yes	Yes
For our affiliate’s and non-affiliate’s everyday business purpose: information about your transactions and experiences.	Yes	No
For our affiliates to market to you.	No	N/A
For nonaffiliates to market to you.	Yes	Yes

- To limit our sharing**
 - Mail the form below;
 - Call us at 646-389-4240; or
 - E-mail us at support@cdsecurities.co.

Please note:

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.
- Questions?** Call us at 646-389-4240 or email us at support@cdsecurities.co.



Mail-In Form

Leave Blank

Mark any/all you want to limit:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- Do not allow your affiliates to use my personal information to market to me.
- Do not share my personal information with nonaffiliates to market their products and services to me.

OR

If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below:

- apply my choices only to me

Name _____
 Address _____
 City, State, Zip _____
 [Account #] _____

Mail to:
 260 Madison Avenue,
 8th Fl
 New York, NY 10016

Who we are	
Who is providing this notice?	CDS1 Securities LLC

What we do	
How does the Firm protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does the Firm collect my personal information?	We collect your personal information, for example, when you open an account. We may also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes-information about your creditworthiness, ▪ affiliates from using your information to market to you, and ▪ sharing for nonaffiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account unless you tell us otherwise.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ▪ not applicable
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ▪ InvestiFi, Inc. ▪ AdvisiFi LLC (SEC-registered investment adviser)
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ▪ The Firm does not have any formal agreements in place to jointly market its services, but InvestiFi may jointly market AdvisiFi and the Firm's services.

Other important information

For Vermont, Nevada, and California residents, please visit <https://investifi.co/privacy/> for additional information concerning the Firm's privacy policies.